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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/019,013	12/26/2001	Masaomi Ueda	21900/0044	5918

7590

04/19/2006

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Washington, DC 20036-3425

EXAMINER
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BAUM, RONALD

ART UNIT	PAPER NUMBER
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2136

DATE MAILED: 04/19/2006

Please find below and/or attached an Office communication concerning this application or proceeding.

## Office Action Summary

Application No.

10/019,013

Applicant(s)

UEDA ET AL

Examiner

Ronald Baum

Art Unit

2136

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

### Status

- 1) ☒ Responsive to communication(s) filed on 15 February 2006.
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

### Disposition of Claims

- 4) ☒ Claim(s) 1,9 and 10 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1,9 and 10 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

### Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- \* See the attached detailed Office action for a list of the certified copies not received.

### Attachment(s)

- 1) ☐ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☐ Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)  
Paper No(s)/Mail Date \_\_\_\_\_.
- 4) ☐ Interview Summary (PTO-413)  
Paper No(s)/Mail Date \_\_\_\_\_.
- 5) ☐ Notice of Informal Patent Application (PTO-152)
- 6) ☐ Other: \_\_\_\_\_.

**DETAILED ACTION**

1. This action is in reply to applicant's correspondence of 15 February 2006.
2. Claims 1,9,10 are pending for examination.
3. Claims 1,9,10 remain rejected.

***Claim Rejections - 35 USC § 112***

The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

The claim 13 rejection for 35 U.S.C. 112 is withdrawn.

***Claim Rejections - 35 USC § 102***

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

Claims 1,9,10 are rejected under 35 U.S.C. 102(b) as being anticipated by Abraham et al, U.S. Patent 5,983,270.

4. As per claim 1; "An access right setting apparatus which can set access right information for limiting utilization of a resource with respect to said resource whose utilization can be limited by setting an access right, comprising:

access request information acceptance means for

accepting access request information in which information for

requiring the access right setting to said resource is described,  
said access request information acceptance means being capable of  
accepting said access request information including  
a description of an access object resource which is  
designated by access object resource classification  
information which is  
information corresponding to an identifier of access  
object resource,  
where said information is in a natural language  
designated by a user, not by an identifier for uniquely  
specifying said resource [Abstract, figures 3-15, 17-19, 27,  
32, 33, 35, 36 and associated descriptions, and more  
particularly figures 3a, 6, whereas the use of a network  
management program operating on a processing element  
(i.e., apparatus) of a network (node) with associated GUI  
for user/operator interaction (i.e., the user dynamic  
interaction between Windows NT GUI icons and the  
system response clearly comprising the natural  
language/designation via the user aspects), of which the  
setup of policy for the filtering/access control configuration  
(setting of access rights), clearly encompasses the claimed  
limitations as broadly interpreted by the examiner.];

access request information judgment means for

judging a content of said access request information

accepted by said access request information acceptance means [Abstract, figures 3-15, 17-19, 27, 32, 33, 35, 36 and associated descriptions, whereas the use of a network management program operating on a processing element (i.e., apparatus) of a network (node) with associated GUI for user/operator interaction, of which the setup of policy for the filtering/access control configuration (setting of access rights), and subsequent use of said policy after being accepted/stored (i.e., post judging as related to policy content), clearly encompasses the claimed limitations as broadly interpreted by the examiner.]; and

access request information setting means for

setting the content of said access request information

judged by said access request information judgment means as

said access right information [Abstract, figures 3-15, 17-19, 27, 32, 33, 35, 36 and associated descriptions, whereas the use of a network management program operating on a processing element (i.e., apparatus) of a network (node) with associated GUI for user/operator interaction, of which the setup of policy for the filtering/access control configuration (setting and associated storage of access rights), and subsequent use of said policy after being accepted/stored (i.e., post judging as related to policy content), clearly encompasses the claimed limitations as broadly interpreted by the examiner.].”.

5. Claim 9 *additionally recites* the limitation that; “The access right setting apparatus according to any one of claim 1, characterized by
- an open resource information storage device which can
- store information open to an access originator of said resource among
- information of not an identifier for uniquely specifying said resource,
- but an identifier of classification of said resource with respect to
- designation of said resource as the object of the access right setting described in
- said access request information.”.

The teachings of Abraham et al suggest such limitations (i.e., Abstract, figures 3-15, 17-19, 27, 32, 33, 35, 36 and associated descriptions, whereas the use of a network management program operating on a processing element of a network with associated GUI for user/operator interaction, of which the setup of policy via administrative level functions to additionally assign rights (i.e., figures 5-9 and associated descriptions) and associated storage of said rights, clearly encompasses the claimed limitations as broadly interpreted by the examiner.).

6. Claim 10 *additionally recites* the limitation that; “The access right setting apparatus according to any one of claim 1, further comprising:
- a conversion table in which natural language and artificial language corresponding to the
- natural language are described; and
- display information preparation means for converting the content of said natural
- language described in said access request information to natural language which

corresponds to artificial language described in said access request information in said conversion table, on the basis of artificial language described in said access request information.”.

The teachings of Abraham et al suggest such limitations (i.e., Abstract, figures 3-15, 17-19, 27, 32, 33, 35, 36 and associated descriptions, and more particularly figures 3a, 6, whereas the use of a network management program operating on a processing element of a network with associated GUI for user/operator interaction (i.e., the user dynamic interaction between Windows NT GUI icons and the system response clearly comprising the natural language/designation via the user aspects), of which the rendering of said interactions input/output results (i.e., the Windows NT response to icon selection inherently calling/executing associated operating system/applications services via the services associated (object/object table conversion/reference resolution) references), clearly encompasses the claimed limitations as broadly interpreted by the examiner.).

#### ***Response to Amendment***

7. As per applicant's argument concerning the lack of teaching by Abraham et al of a natural language interaction methodology, the examiner has fully considered in this response to amendment; the arguments, and finds them not to be persuasive, as described in the rejections above. The claim language (i.e., independent claim 1) is not directed to “natural language” of an explicit nature, just implicitly in a broad sense. The fact that the specification deals more explicitly with the nature of “natural language” does not render the requirement that the claim language not deal with this aspect more succinctly; just that said claim language is looked at in

light of the specification. Therefore, the “natural language” aspects of Abraham et al, such as the user dynamic interaction between Windows NT GUI icons and the system response clearly comprising the natural language/designation via the user aspects (i.e., the user ‘seeing’ an icon representing visually in a ‘natural language’ manor, and said user responding/interacting accordingly), as being *broadly interpreted by the examiner*, as per the claim language, would therefore be applicable in the rejection, such that said reference does not render the claim language limitations patently distinct.

8. **THIS ACTION IS MADE FINAL.** Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.



*Conclusion*

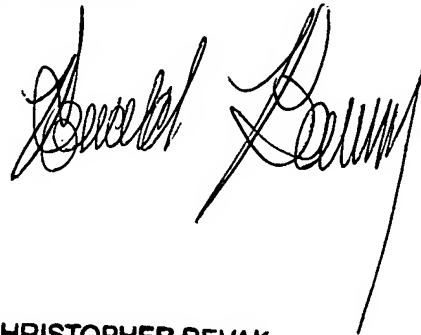
9. Any inquiry concerning this communication or earlier communications from examiner should be directed to Ronald Baum, whose telephone number is (571) 272-3861, and whose unofficial Fax number is (571) 273-3861. The examiner can normally be reached Monday through Thursday from 8:00 AM to 5:30 PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Ayaz Sheikh, can be reached at (571) 272-3795. The Fax number for the organization where this application is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. For more information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Ronald Baum

Patent Examiner

A handwritten signature in black ink, appearing to read 'Ronald Baum', with a long, sweeping vertical line extending downwards from the end of the signature.

CHRISTOPHER REVAK  
PRIMARY EXAMINER

CR 4/13/06